## Schedule B - Certificate of Professional Conduct

THIS IS SCHEDULE "B" ANNEXED TO AND FORMING PART OF THE QUALIFICATIONS AND REGISTRATION POLICY OF THE COLLEGE OF PHYSICIANS AND SURGEONS OF MANITOBA.

## PRIVATE & CONFIDENTIAL

## CERTIFICATE OF PROFESSIONAL CONDUCT

- 1. Identification and contact information for recipient of COPC.
- 2. Information about the applicant.
  - a. Personal Identifiers
    - a. Full legal name
    - b. Address of record
    - c. Office telephone number
    - d. Name of the Professional Corporation (shareholder or director)
    - e. Medical Identification Number for Canada/NIMC #
    - f. Date of birth
    - g. Name changes
  - b. Qualifications and credentials
    - a. Medical Degree
    - b. Name of medical school
    - c. Country of medical school
    - d. Year of graduation
    - e. LMCC number
    - f. Date of LMCC
    - g. Specialty qualifications
    - h. Any other qualifications
    - i. Source verification Yes or No
  - c. Registration / Certificate of Practice information
    - i. Registration/licence number
    - ii. Date of registration
    - iii. Date of licensure
    - iv. Registration/licensure history
    - v. Expiry, if any
    - vi. Class of registration/licensure
    - vii. Actively practising in the jurisdiction Yes or No
    - viii. If applicable, authorized/not authorized to perform a reserved Act
  - d. Complaints<sup>2,3</sup>
  - e. Investigations 4
  - f. Disciplinary actions, except dismissals after a hearing
    - a. Date of the disciplinary action
    - b. Particulars of the disciplinary actions
    - c. Findings arising from disciplinary action
    - d. Any remedy or sanction whether imposed or by consent

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- g. Current information of a non-disciplinary nature<sup>5</sup>
  - a. Conditions on licence or registration;
  - b. Consent agreements or undertakings;
  - Consent withdrawal from practice or a register; and if known, reasons for withdrawing;
  - d. Restriction or cancellation of hospital privileges, if known.
- h. Findings of guilt, criminal or otherwise<sup>6</sup>
  - a. Findings of guilt or pardoned offences and pending charges:
    - i. In Canada;
    - ii. Elsewhere if known;
  - b. Other; including;
    - 1. Findings under the Controlled Drugs and Substances Act;
    - 2. Findings under the Food and Drugs Act (Canada);
    - 3. Fraud findings;
    - 4. Restraining orders.
- i. Professional litigation history against registrant or former registrant<sup>7</sup>
  - a. Settlements<sup>8</sup>;
  - b. Civil suit finding;
  - c. Statements of claim.
- j. Any other information the Registrar deems relevant

DATE OF ISSUE: REGISTRAR

Not official without signature of Registrar and impression of College seal

No further entries below

## Footnotes

- <sup>1</sup>Disclosure is based upon the best information available to the CPSM as of the date of this certificate.
- <sup>2</sup> A complaint means any initiating communication which:
  - a) is an expression of concern about the conduct, competence or capacity of the registrant or former registrant, about which the registrant or former registrant is aware;
  - b) identifies a registrant or former registrant of the issuing medical regulatory authority;
  - c) is made by any person (including the Registrar of the issuing medical regulatory);
  - d) meets the legal criteria or procedures in the jurisdiction in question; and
  - e) does not necessarily have to lead to an action.
- <sup>3</sup>Open complaints and any past complaints for the current year and the 10 previous calendar years are included.
- <sup>4</sup> Open Investigations and any past investigations for the current year and the 10 previous calendar years are included.
- <sup>5</sup> CPSM does not collect information about hospital privileges.
- <sup>6</sup> CPSM began collecting information about court findings of guilt from other jurisdictions, fraud findings, restraining orders, and pardoned offenses on July 15, 2015. Only matters for the current year and the 10 previous calendar years are included.
- <sup>7</sup>CPSM began collecting information about medical malpractice court judgements issued against the member by a court in Canada within the previous 10 years on July 4, 2005. On July 15, 2015, CPSM began collecting information about members' professional litigation history including pending civil actions and settlements of civil action. The member's professional litigation history involving a patient for the current year and the 10 previous calendar years is included.
- <sup>8</sup> Settlement means any resolution of a lawsuit involving a patient at any time during the proceeding, which included any payment of money in relation to a members' medical practice and/or any admission of liability in relation to a members' medical care.

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