

SUMMARY AND STATEMENT FROM THE REGISTRAR Dr. Leonard Elia Lockman – Cancellation of Registration

In April 2022, a CPSM Inquiry Panel held a hearing into allegations against Dr. Leonard Elia Lockman that he engaged in professional misconduct, contravened various CPSM requirements, displayed a lack of skill, knowledge and judgment in the practice of medicine, and demonstrated an unfitness to practice medicine. The allegations related to conduct that occurred between January 2011 and September 2020.

Dr. Lockman admitted the allegations and pled guilty to having engaged in professional misconduct. While he did not admit to having demonstrated unfitness to practice, he did not contest this allegation either; rather, leaving it to the Panel to decide the issue on the totality of accepted evidence and the Panel's findings. CPSM and Dr. Lockman jointly recommended reprimand, cancellation of registration, and costs in the amount of \$40,000.00.

The Panel was satisfied that the admitted allegations against Dr. Lockman and their particulars, as set out in an Amended Notice of Inquiry, were established on a balance of probabilities. The Panel summarized as follows, noting the list is not exhaustive with respect to its findings:

... based on the evidence presented to it and Dr. Lockman's admissions, Dr. Lockman:

- Frequently created inaccurate, unclear, and/or inappropriate patient records;
- Repeatedly described in his records physical examinations which were either inappropriate or not performed;
- Failed frequently to provide relevant information in patient records that would support his decisions regarding diagnosis and treatment;
- Failed frequently to include in his records any particulars about advice or explanations to his patients;
- Failed to demonstrate that he provided appropriate care to patients, particularly those with chronic illnesses;
- On several occasions, provided information to physician colleagues that was inaccurate and potentially harmful to the patient;
- Permitted an EMR system to be employed that was deficient;
- Permitted an active medication list to exist in the patient record that was inaccurate, difficult to decipher, and potentially harmful;
- Made unsupported diagnoses or created the impression of a diagnosis by inclusion in the patient record;
- Failed to follow up on medications, lab results, use appropriate diagnostic tools, and consider information that ought to have led to an inquiry of a potential medical diagnosis;
- Repeatedly made false claims for CDM and CCM tariffs for himself and the Clinic generally.

The Panel found that Dr. Lockman demonstrated an unfitness to practice medicine based on proven misconduct. This was based on "significant and consistent failures of Dr. Lockman to practice medicine competently in a way that is consistent with the CPSM standards and ethics and with reasonable expectations of the public."

It is noted that during the CPSM investigation and hearing, Dr. Lockman practiced under significant restrictions and supervision. This continued until he ceased practice.

The Investigation Committee had previously censured Dr. Lockman.

Statement from the Registrar

Serious professional misconduct warrants serious consequences.

For the protection of the public, cancelling Dr. Lockman's registration with CPSM is the only way to prevent repeated acts of misconduct. By failing to treat, manage or follow up on medical conditions adequately, he failed to practice medicine competently. He caused some of his patients harm by making unsupported diagnoses and inaccurate, unclear, and inappropriate patient records that could be damaging to them for years to come.

The seriousness, frequency, and patterns of his practice and his admission of guilt for each count are detrimental and insulting to honest physicians and to the public's trust in the medical profession.